Should molecular subtype be recommended as one of the selection criteria for accelerated partial breast irradiation? Preliminary results from an Asian cohort

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Abstract

Purpose: The purpose of this study was to report clinical outcomes in patients treated with accelerated partial breast irradiation (APBI), stratified as per molecular subtype and American Society for Therapeutic Radiology and Oncology/ Groupe Européen de Curiethérapie and European Society for Radiotherapy & Oncology (ASTRO/GEC-ESTRO) patient selection criteria in order to determine whether molecular subtype should be recommended as one of the selection criteria for APBI.

Material and methods: 157 early-stage breast cancers patients, treated with APBI using multi-catheter interstitial brachytherapy with ≥ 6 months follow-up were included. Molecular subtype was assigned based on estrogen/progesterone receptor (ER/PR), Her2neu and tumor grade. Patients were stratified into ASTRO and GEC-ESTRO risk groups, as per updated ASTRO consensus statement (CS) and GEC-ESTRO recommendation, respectively. The Kaplan-Meier method was used to calculate the time to event data of clinical outcomes.

Results: With a median follow-up of 35 months, local control (LC) and locoregional control (LRC) were not significantly different among the different molecular subtypes (p = 0.19, p = 0.41, respectively). None of the APBI guidelines predicted risk of local or locoregional recurrence. Re-analyzing the data by replacing ER status with molecular subtype in the ASTRO-CS did not show any significant difference in LC/LRC across the various categories. Her2neu subtype was associated with significantly lower disease-free survival, cause specific survival, and overall survival than the luminal subtypes.

Conclusions: None of the mentioned APBI guidelines predicted local or locoregional recurrence risk in our study population. Additional follow-up will be needed to recommend inclusion of molecular subtype (or at least HER2 receptor status) in the patient selection criteria for APBI.

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Key words: accelerated partial breast irradiation, breast cancer, multicatheter interstitial brachytherapy.

Purpose

Breast conserving surgery (BCS) and radiotherapy (RT) to the conserved breast is considered as a standard treatment in early stage breast cancer. Accelerated partial breast irradiation (APBI) is a form of hypo-fractionated regimen, in which only the lumpectomy bed plus a 1-2 cm margin is treated, rather than conventional whole breast irradiation (WBI). It is an appealing alternative to WBI for selected group of patients with favorable early-stage disease

because of shortened treatment time with reduced radiation exposure to the surrounding normal tissues, which include the uninvolved breast, skin, heart, and lungs. A recently published randomized phase 3 study by the Groupe Européen de Curiethérapie and the European Society for Radiotherapy & Oncology (GEC-ESTRO) showed non-inferiority of adjuvant APBI using multicatheter interstitial brachytherapy (MIB-APBI) to adjuvant WBI after BCS in early breast cancer. The 5-year local control, disease-free survival, and overall survival were similar in the two arms,

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Received: 12.11.2017 Accepted: 22.01.2018 Published: 28.02.2018 along with lesser rate of late normal tissue toxicity with APBI [1]. Cost simulation based on the result of this study has shown that APBI is a cost-effective alternative to WBI [2]. Satisfaction with the cosmetic outcome and quality of life scores are also higher with MIB-APBI compared to WBI [3].

Patient selection has an important implication on the outcomes of APBI. Although, several expert consensus groups like the GEC-ESTRO, American Society for Therapeutic Radiology and Oncology (ASTRO), American Brachytherapy Society (ABS), American Society of Breast Surgeons (ASBS), National Surgical Adjuvant Breast and Bowel Project (NSABP), and Radiation Therapy Oncology Group (RTOG) have developed guidelines for selection of patients for APBI, there is lack of consistency among the recommendations and clinical applicability of these guidelines [4,5,6,7,8,9]. The ASBS and ABS guidelines do not comment on important prognostic factor like multifocality or multicentricity. Moreover, all these guidelines are based mainly on histopathological factors and do not incorporate molecular subtypes of breast cancer. ASTRO guidelines have included only estrogen receptor (ER) status but other consensus guidelines like GEC-ESTRO, ABS, and ASBS have not included receptor status or grade in their recommendations [4]. Patient selection criteria according to various consensus guidelines are summarized in Table 1. There is ample literature on the impact of molecular subtype on distant recurrence and overall survival, which is used routinely to guide systemic therapy treatment decisions. There is also growing evidence that molecular phenotype has prognostic significance for locoregional recurrence risk both following APBI as well as WBI [10,11,12,13,14,15,16,17,18].

Hence, we conducted a study with an aim to report early clinical outcome in patients treated with MIB-APBI in our institution, stratified as per molecular subtype and ASTRO/GEC-ESTRO patient selection criteria.

Material and methods

Study participants and treatment method

All consecutive women (n = 157) with early stage invasive breast cancers, who underwent BCS and either intra operative or post-operative high-dose-rate (HDR) MIB-APBI from January 2012 to July 2016, and had at least 6 months of follow-up were included in the current analysis. Patient demographics including age, stage, tumor characteristics and treatment details, toxicity and clinical outcome data were recorded, compiled, and analyzed.

For patients who underwent intra-operative APBI, histopathology was reviewed with frozen section after lumpectomy and axillary sampling to confirm that the tumor size, margin, and nodal status are as per the APBI eligibility criteria, which have been reported earlier [19]. The implant procedure, planning, implant quality, and dosimetric indices have also been described in this report. Patients who had undergone BCS using open cavity technique were considered for APBI. Patients underwent brachytherapy either in intra-operative or post-operative setting, depending on the time of referral to our institute.

If the patient was referred pre-operatively, the patient was taken up for BCS with intra-operative implant in the same setting. In the setting of patients who had already undergone excision biopsy or BCS, suitable patients were considered for post-operative implant, which was done as a second procedure. In both the cases, APBI was delivered using multicatheter interstitial HDR brachytherapy. Result from the previous study showed that there were no significant differences in the dosimetric parameters, based on whether the technique was done intra-operatively or post-operatively [19]. Planning was done on Oncentra planning system (version 4.3; Nucletron, Elekta, Stockholm, Sweden), and a dose fractionation of 32-34 Gy, in 8-10 twice daily fractions, over 5-7 days was used. During 2012-2015, 34 Gy/10 fractions/5 days was used in accordance with the ABS guidelines, while 32 Gy/ 8 fractions/4 days was used from 2016 onwards, following published results of the GEC-ESTRO trial. Adjuvant chemotherapy and/or hormonal therapy were given as per institutional guidelines. Molecular subtype was assigned based on immune-histochemistry (IHC) for the estrogen-progesterone receptors and Her2neu status. Tumor grade was used as a surrogate measure of proliferation (Table 2). All patients were stratified into ASTRO groups (suitable, cautionary, and unsuitable) as per updated ASTRO consensus statement, and ESTRO risk categories (low, intermediate, high-risk) as per GEC-ESTRO recommendation [5,9].

Patients were followed up every 6 months after completion of radiotherapy for 5 years. Clinical examination was performed on each follow-up visit and bilateral mammography was obtained once in every 18 months. Those patients who were unable to come for a follow-up in last 6 months were called telephonically to know their disease status.

Endpoints and statistical analysis

Statistical analysis was performed using Statistical Programme for Social Sciences software for Windows (SPSS Inc., Version 20). Patient, tumor, and treatment characteristics were reported as numbers and proportions. Tumor and treatment characteristics across the subgroups were compared using Pearson Chi-square test. P value < 0.05 was considered to be significant. Normality of the quantitative variables was tested using Shapiro-Wilk test. Clinical outcomes that were studied included local control (LC), locoregional control (LRC), distant metastasis free survival (DMFS), disease-free survival (DFS), cause specific survival (CSS), and overall survival (OS). All the time to event data were calculated from the date of surgery. Kaplan-Meier curves were used to generate various time to event curves, and log-rank statistical test was applied to determine the difference between actuarial rates. Variables were also compared pairwise over strata to find out potential meaningful difference in outcome. Univariate and multivariate (using Cox proportional hazard model) analyses was performed to estimate the risks of LRC and DFS, using the following variables: molecular subtype, updated ASTRO group, GEC-ESTRO category, age group, grade, pathological tumor size,

Table 1. Patient selection criteria according to various consensus guidelines

) ; ;	ABS [6]	ASBS [7] I	NSABP-39/	GEC-EST	GEC-ESTRO [5]			ASTRO (2016) [9]	
			RTOG-0413 [8]	Low-risk	Intermediate- risk	High-risk	Suitable	Cautionary	Unsuitable
Age	≥ 50 years	<pre>> 45 if invasive, > 50 if DCIS</pre>	≥ 18 years	> 50 years	41-50 years	≤ 40 years	≥ 50 years	40-49 years (if all other criteria for "suitable" are met) ≥ 50 years (if at least 1 pathological factor positive)	< 40 years 40.49 years and do not meet the criteria for cautionary
Tumor size (cm)	≥ 3 cm	≤ 3 cm	≤3 cm	pT1-2 (≤ 3 cm)	pT1-2 (≤3 cm)	pT2 (> 3 cm), pT3, pT4	≤2 cm	2.1-3.0 cm	> 3 cm
Nodal status	Negative	Negative	pN0-1*	pNO (by SLNB or ALND#)	pN1mi, pN1a (by ALND#)	pNx; ≥ pN2a (4 or more pos- itive nodes)	ONd	ond	pN1, pN2, pN3
Histology	Any	Any	Any	IDC, mucinous, tubular, medullary, and colloid	IDC, ILC, muci- nous, tubular, medullary, and colloid	I	Ductal or other favorable subtypes*	Lobular	ı
Margin	Negative	Negative	Negative	Negative (≥ 2 mm)	Negative, but close (< 2 mm)	Positive	Negative by at least 2 mm	Close (< 2 mm)	Positive
LVI	Negative	I	1	Not allowed	Not allowed	Present	No	Limited/focal	Extensive
EIC	_	1	-	Not allowed	Not allowed	Present	Not allowed	≤3 cm	If > 3 cm in size
Pure DCIS	Allowed	Allowed	Allowed	Not allowed	Allowed	I	Allowed ^{\$}	≤ 3 cm if criteria outlined in "suitable" table are not fully met	If > 3 cm in size
Focality	1	ı	Microscopic microfocality provided; total tumor size < 3 cm	Unifocal	Multifocal (limited within 2 cm of the index lesion)	Multifocal (> 2 cm from the index lesion)	Clinically uni- focal with total size ≤ 2 cm	Clinically unifocal with total size 2.1-3.0 cm	If microscopically multifocal > 3 cm in total size or if clini- cally multifocal
Centricity	1	I	Unicentric	Unicentric	Unicentric	Multicentric	Unicentric only	1	Multicentric
ER status	Ι	I	Any	Any	Any	I	Positive	Negative	1
NACT	ı	I	Not allowed	Not allowed	Not allowed	lf used	Not allowed	1	lf used
BRCA mutation	1	1	1	1	1	1	Not present	1	present

*Axillary staging includes sentinel lymph node biopsy (SLNB) alone (if sentinel node is negative), sentinel node biopsy followed by axillary dissection or sampling with a minimum total of 6 axillary nodes (if sentinel node is positive), or axillary dissection alone (with a minimum of 6 axillary nodes) (Axillary staging is not required for patients with DCIS)

#ALND – axillary lymph node dissection (at least 6 nodes pathologically examined)

Pure ductal carcinoma in situ (DCIS) allowed if screen-detected, low to intermediate nuclear grade, 5.2.5 cm size, and resected with margins negative at \geq 3 mm *Favorable subtypes include mucinous, tubular, and colloid

ABS – American Brachytherapy Society, ASBS – American Society of Breast Surgeons, NSABP – National Surgical Adjuvant Breast and Bowel Project, RTOG – Radiation Therapy Oncology, ASTRO – The Groupe Européen de Curiethérapie and the European Society for Radiotherapy & Oncology, ASTRO – American Society for Therapeutic Radiology and Oncology, DCIS – ductal carcinoma in situ, SLNB – sentinel lymph node biopsy, ALND – avillary lymph node dissection, IDC – invasive ductal carcinoma, ILC – invasive lobular carcinoma, ILC – hymphovascular invasion, EIC – extensive intraductal component, ER – estrogen receptor, NACT – neoadjuvant chemotherapy, BRCA – breast cancer (gene)

Table 2. Molecular subtypes: breast cancer molecular subtype assignment was done based on immune-histochemistry (IHC) for the estrogen-progesterone receptors and Her2neu status. Tumor grade was used as a surrogate measure of proliferation

Molecular subtype	Receptor status							
Luminal A	ER+ and/or PR+, HER2– and grade I or II							
Luminal B	ER+ and/or PR+, HER2– and grade III ER+ and/or PR+, HER2+							
Her2neu	ER- and PR-, HER2+							
Triple-negative breast cancer	ER– and PR–, HER2–							

ER – estrogen receptor, PR – progesterone receptor, Her2neu – human epidermal growth factor receptor 2

pathological nodal (pN) stage, margin status, lymphovascular invasion (LVI), endocrine therapy, and chemotherapy. P value < 0.05 was considered as significant.

Results

Patient, tumor, and treatment characteristic

Patient, tumor, and treatment characteristics are summarized in Table 3. Median follow-up was 35 months for all patients (range, 12-71 months). Median age was 60 years (range, 45-78 years). Median pathological tumor (pT) size was 2.1 cm (range, 0.6-3.2cm). The width of final cut margin varied from 1-17 mm (median, 8 mm). As shown in Table 3, 34.4% (n = 54) of women had luminal A, 36.3% (n = 57) luminal B, 10.8% (n = 17) Her2neu, and 18.5% (n = 29) triple-negative breast cancer (TNBC). As per updated ASTRO consensus, 46 (29.3%), 106 (67.5%) and 5 (3.2%) patients belonged to suitable, cautionary, and unsuitable group, respectively. In the cautionary group, most common risk factor was pT size > 2 cm (66.0%), followed by ER negativity (40.0%), and LVI positivity (18.0%). In the unsuitable group, 2 patients had tumor size > 3 cm, and 3 patients had single nodal metastasis. These were not reported intra-op in frozen section but confirmed on the final histopathology report, which was obtained after the patient had already started on brachytherapy treatment. As per GEC ESTRO consensus for patient's selection, our cohort consisted of 123 (78.3%), 10 (6.4%), and 24 (15.3%) patients belonging to low, intermediate, and high-risk groups, respectively. Among the high-risk group, most common risk factor was LVI positivity (87.5%), and among the intermediate group most common risk factor was age between 40-50 years (70%).

Across the molecular subtype, there was a difference in pT stage (p = 0.04) and ASTRO consensus group (p < 0.001), as shown in Table 4. Luminal A subtype had a higher percentage (63%) of T1 tumors, as compared to other subtypes. Hence, half of the patients with luminal A subtype belonged to ASTRO suitable category, whereas in luminal B, Her2neu, and TNBC subtypes higher percentage of patients belonged to ASTRO cautionary category (range, 63-100%) (Table 4). As a result, the use

Table 3. Patient, tumor and treatment characteristic

Characteristic	Group	N (%)		
Age group (years)	< 40	0		
, 18c 8. oak () ca. 5)	40-49	7 (4.5)		
	≥ 50	150 (95.5)		
Menopausal	Pre-menopausal	7 (4.5)		
status	Post-menopausal	141 (89.7)		
	Peri-menopausal	9 (5.7)		
pT size	≤2 cm	76 (48.4)		
P1 312C	> 2 cm	81 (51.6)		
pN stage	Negative (pN0)	154 (98.2)		
	Positive 1-3 (pN1a)	3 (1.8)		
Final margin	Negative (≥ 2 mm)	155 (98.7)		
· · · · · · · · · · · · · · · · · · ·	Close < 2 mm	2 (1.3)		
Tumor grade		7 (4.5)		
iumor grade	<u>'</u> 	51 (32.5)		
	 	99 (63.0)		
EIC	Positive	1 (0.6)		
EIC -				
17/1	Negative	156 (99.4)		
LVI	Positive	21 (13.4)		
ED ()	Negative	136 (86.6)		
ER (estrogen receptor)	Positive	111 (70.7)		
	Negative	46 (29.3)		
PR (progesterone receptor)	Positive	96 (61.1)		
	Negative	61 (38.9)		
Her2neu status	Negative	129 (82.2)		
	Positive	28 (17.8)		
Molecular subtype	Luminal A	54 (34.4)		
subtype .	Luminal B	57 (36.3)		
-	Her2neu	17 (10.8)		
	TNBC	29 (18.5)		
Updated ASTRO consensus	Suitable	46 (29.3)		
groups	Cautionary	106 (67.5)		
	Unsuitable	5 (3.2)		
GEC-ESTRO risk	Low-risk	123 (78.3)		
groups	Intermediate-risk	10 (6.4)		
	High-risk	24 (15.3)		
Chemotherapy	Yes	85 (54.0)		
	No	72 (46.0)		
Endocrine	Yes	111 (70.7)		
therapy	No	46 (29.3)		
Timing of	Intra-operative	87 (55.0)		
implant	Post-operative	40 (45.0)		
516				

EIC – extensive intraductal component, LVI – lymphovascular invasion, Her2neu – human epidermal growth factor receptor 2, ASTRO – American Society for Therapeutic Radiology and Oncology, GEC-ESTRO – the Groupe Européen de Curiethérapie and the European Society for Radiotherapy & Oncology

Table 4. Tumor and treatment characteristic across the molecular subtype. Bold numerals = statistically significant, p < 0.05

Characteristics	Groups		<i>p</i> -value			
	-	Luminal A (n = 54)	Luminal B (n = 57)	Her2neu (n = 17)	TNBC (n = 29)	
pT size	≤ 2 cm	34 (63%)	25 (44%)	4 (23%)	13 (46%)	0.04
	> 2 cm	20 (36%)	32 (66%)	13 (77%)	16 (55%)	
p N status	Negative (pN0)	53 (98%)	56 (98%)	17 (100%)	28 (96%)	0.87
	Positive 1-3 (pN1a)	1 (3%)	1 (2%)	0	1 (3%)	
LVI	Positive	8 (16%)	10 (18%)	1 (6%)	2 (7%)	0.41
	Negative	46 (84%)	47 (82%)	16 (94%)	27 (93%)	
Updated ASTRO	Suitable	28 (51%)	18 (31%)	0	1 (3%)	< 0.001
category	Cautionary	25 (46%)	36 (63%)	17 (100%)	27 (93%)	
	Unsuitable	1 (3%)	3 (6%)	0	1 (3%)	
Updated ASTRO	Suitable	28 (51%)	0	0	0	< 0.001
category (ER status replaced by molecular	Cautionary	25 (46%)	54 (94%)	0	0	
subtype)	Unsuitable	1 (3%)	3 (6%)	17 (100%)	29 (100%)	
GEC-ESTRO risk	Low-risk	44 (81%)	42 (73%)	13 (76%)	24 (82%)	0.13
groups -	Intermediate-risk	1 (3%)	3 (6%)	3 (17%)	3 (11%)	
	High-risk	9 (16%)	12 (21%)	1 (6%)	2 (7%)	
Chemotherapy	Yes	11 (21%)	35 (61%)	35 (61%) 16 (94%)		< 0.001
	No	43 (79%)	22 (39%)	1 (6%)	6 (21%)	
Endocrine therapy	Yes	54 (100%)	57 (100%)	0	0	< 0.001
	No	0	0	17 (100%)	29 (100%)	

LVI — lymphovascular invasion, ASTRO — American Society for Therapeutic Radiology and Oncology, ER — estrogen receptor, GEC- ESTRO — the Groupe Européen de Curiethérapie and the European Society for Radiotherapy & Oncology

of chemotherapy and endocrine therapy varied among the various subtypes. Only nine out of 28 Her2neu positive patients received trastuzumab (one patient received a single dose of trastuzumab peri-operatively as a part of a clinical trial, seven patients received it only for 12 weeks due to financial constraints, and only one patient received full 1 year of trastuzumab). There was no significant difference across subtypes with respect to GEC-ESTRO risk stratification, LVI, and nodal status.

Treatment outcomes

With a median follow-up of 35 months, a total ten disease failures were documented, which included three isolated local recurrences, one local recurrence with distant metastasis, another with both regional and distant relapse, and 5 patients had only distant failures. Out of the four patients who had ipsilateral breast tumor recurrence (IBTR), one patient had recurrence in the index quadrant, while the other three patients had recurrence in different quadrant of the treated breast. Regional recurrence occurred at the internal mammary chain of lymph nodes. The location of the primary tumor in this patient

was in the lower inner quadrant. All the three cases of isolated IBTR were salvaged with modified radical mastectomy and are alive and disease-free till the last follow-up. The other case of IBTR who also developed distant metastasis (liver, brain), received palliative chemotherapy as well as palliative whole brain radiation and was alive with disease till the time of last follow-up. Four patients had died of disease, 2 additional patients died of cardiovascular causes, and one patient died of cerebrovascular cause. Of the 4 deaths related to disease, all had widespread metastatic disease.

Local and locoregional control

The 3-year actuarial LC, LRC, DMFS, DFS, CSS, and OS as per molecular subtypes, and ASTRO and GEC ESTRO risk categories are summarized in Table 5. As the ASTRO unsuitable category had only 5 patients, these were analyzed with the cautionary group for all the time to event endpoints to avoid skewed results due to low patient numbers. Four patients developed IBTR, resulting in an overall 3-year actuarial local control rate of 96.5%.

Out of 4 patients who had IBTR, 3 patients belonged to luminal B subgroup and 1 patient belonged to Her2neu

Table 5. Three-years actuarial outcome by molecular subtype, updated ASTRO category and GEC- ESTRO risk groups. As the number of unsuitable cases ASTRO Consensus was only five, unsuitable and cautionary analyzed as one category for statistical purpose. Clinical outcomes that were studied included local control (LC), locoregional control (LRC), distant metastasis-free survival (DMFS), disease-free survival (DFS), cause specific survival (CSS), and overall survival (OS). All the time to event data were calculated from the date of surgery. Bold numerals show statistically significant data, p < 0.05

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		LC (%)	<i>p</i> -value	LRC (%)	<i>p</i> -value	DMFS (%)	<i>p</i> -value	DFS (%)	<i>p</i> -value	CSS (%)	<i>p</i> -value	OS (%)	<i>p</i> -value
Overall		96.5		96.5		95.6		93.1		98.3		97.6	
Molecular	Luminal A	100	0.19	100	0.41	100	0.01	100	0.007	100	0.006	98.1	0.05
subtype	Luminal B	93.3	-	93.3	=	97.6		93.3	-	100		100	_
	Her2neu	87.5	- ,	87.5	-	71.6		61.4		82.0		82.0	-
	TNBC	100		96.4	-	96.4		96.4		92.9		92.9	-
Updated	Suitable	97.1	0.80	97.1	0.61	100	0.27	97.1	0.38	100	0.13	100	0.21
ASTRO category	Cautionary	96.1		95.1	-	93.5		91.0		97.4		96.5	-
GEC-ESTRO risk group	Low	96.6	0.56	96.6	0.42	97.5	0.20	94.4	0.49	99.2	0.007	98.4	0.11
	Inter- mediate	100		90.0	_	87		78.8		85.7		85.7	
	High	94.4		94.4		100		94.4		100		100	

ASTRO – American Society for Therapeutic Radiology and Oncology, GEC-ESTRO – the Groupe Européen de Curiethérapie and the European Society for Radiotherapy & Oncology

subgroup. The luminal B subgroup patients who failed locally, when analyzed according to Her2neu status, 2 cases were ER+/Her2neu-/grade III, and the other case was ER+/Her2neu+/grade III (this case had local recurrence in index quadrant). The true in-field recurrence pa-

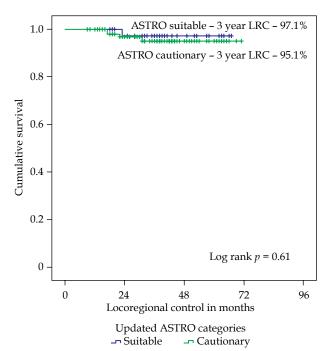


Fig. 1. Locoregional control (LRC) by updated ASTRO categories (unsuitable and cautionary analyzed as one category)

tient and Her2neu subgroup patient did not receive trastuzumab due to financial constrain. No IBTR occurred in patients with TNBC subtype. There was one regional nodal failure (RNF), which occurred in the TNBC subtype with actuarial regional nodal recurrence (RNR) rate of 0.7% at 3 years.

One isolated IBTR occurred in the ASTRO suitable/ GEC-ESTRO low-risk group, and the other occurred in ASTRO unsuitable/GEC-ESTRO high-risk group (unsuitable/high-risk in view of pT size = 3.1 cm). The other two IBTR occurred in ASTRO cautionary/GEC-ESTRO lowrisk group (cautionary in view of T size > 2 cm and ER receptor status negative). The 3-year actuarial IBTR was 2.9%, 3%, and 25% in ASTRO suitable, cautionary, and unsuitable group, respectively, and 3.4%, 0%, and 5.6% in GEC-ESTRO low, intermediate, and high-risk group, respectively. The single RNF occurred in the ASTRO cautionary group (in view of ER negativity and final cut margin < 2 mm)/GEC-ESTRO intermediate risk group (in view of close margin). The survival curves for locoregional control as per updated ASTRO categories, molecular subtype, and GEC-ESTRO risk groups are shown in Figures 1-3, respectively. Univariate analysis demonstrated that neither molecular subtype nor the APBI selection criteria (update ASTRO/ESTRO) were predictive for local or locoregional outcome. The patient data set was re-analyzed for LC and LRC as per updated ASTRO category by replacing ER status by molecular subtype to find out whether inclusion of molecular subtype in ASTRO risk stratification has important impact on local outcomes. For purpose of this analysis, luminal A subtype alone in absence of other risk factors was considered as suitable cat-

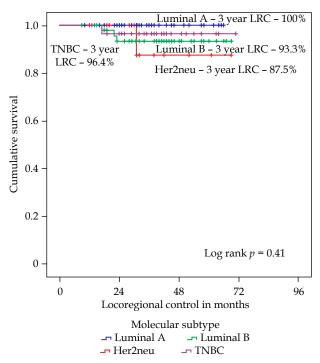


Fig. 2. Actuarial locoregional control (LRC) by molecular subtype

egory, luminal B subtype was considered as cautionary category (provided any other unsuitable risk factor was not present), and Her2neu and TNBC was considered as unsuitable category. As per this analysis, no statistical significant difference in LC and LRC was found across the ASTRO categories (p = 0.22 for LC, and p = 0.28 for LRC). Other variables including age, pT size, pN stage, margin status, estrogen receptor (ER), progesterone receptor (PR), Her2neu status, lymphovascular invasion (LVI), extensive intraductal component (EIC), grade, GEC-ESTRO stratification, receipt of chemotherapy, and hormonal therapy were not associated with a significantly increased risk of local or locoregional recurrence.

Distant metastasis, disease-free survival, cause specific survival, *OS*

Seven patients had distant metastasis, resulting in overall 3-year actuarial rates of 4.4%. The 3-year actuarial distant recurrence rates by subtype were 0% for luminal A, 2.4% for luminal B (n = 2), 28.4% for Her2 (n = 3), and 3.6% for TNBC (n = 2). Her2neu subtype was significantly associated with higher risk of distant metastasis than luminal A (p = 0.002) and luminal B (p = 0.029) subtypes. Only 1 out of 3 patients in Her2 group who failed in distant site had earlier received single dose of peri-operative herceptin. TNBC subtype did not demonstrate statistically significant difference in DFS over luminal A (p = 0.08), luminal B (p = 0.97), and Her2neu (p = 0.06) subtypes. This translated into significantly lower DFS, CSS, and OS in the Her2 subtype than the luminal subtypes. Out of the seven patients who had distant recurrences, six belonged to ASTRO cautionary group and one belonged to ASTRO suitable group. When analyzed pairwise over stratum,

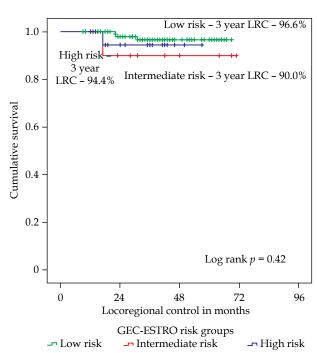


Fig. 3. Actuarial locoregional control (LRC) by GEC-ESTRO risk category

intermediate risk group was also associated with significantly lower CSS (p = 0.03). ER status (p = 0.03), PR status (p = 0.03), and final margin status (p = 0.03), were the other variables which demonstrated significant difference in DFS in univariate analysis, whereas age group, use of chemotherapy, and endocrine therapy were not associated with significant difference in DFS.

Discussion

Over the last two decades, APBI has emerged as an appealing and effective alternative to WBI for selected early stage women. Results from two randomized trials have shown that MIB-APBI has similar local control rate compared to WBI [1,20]. These two trials have used multicatheter interstitial brachytherapy for APBI. Ten-year results of the Budapest randomized trial demonstrated that after a median follow-up of 10.2 years, the 10-year actuarial rate of LR was 5.9% and 5.1% in PBI and WBI arms, respectively (p = 0.77). Neither there was a significant difference in the 10-year probability of OS (80% vs. 82%), CSS (94% vs. 92%), and DFS (85% vs. 84%). Significantly better cosmetic outcome was achieved with interstitial implants [20]. Similarly, in the recently published GEC-ESTRO trial, the 5-year cumulative incidence of local recurrence was 1.44% with APBI and 0.92% with whole-breast irradiation (p = 0.42) [1]. We have reported earlier a case-control study comparing disease control, cosmesis, and complications in patients with early breast cancer undergoing MIB-APBI versus WBI. At the median follow-up of 43.05 months in APBI and 51.08 months in WBRT, there was no difference in overall survival (OS), disease-free survival (DFS), late arm edema, and

symptomatic fat necrosis between the two groups [21]. Cost effectiveness of MIB-APBI technique was shown in a study by Harat et al. [2]. This study has analyzed average cost of treatment and incremental cost-effectiveness ratio of MIB-APBI compared to WBI in early breast cancer patients over a 5-year period, based on the results of GEC-ESTRO trial. The average cost of treatment with APBI was lower than for WBI, and the study concluded that the use of APBI as an alternative to WBI would substantially reduce healthcare expenditures in both 2013 and 2025, assuming even an increase in the price per single APBI procedure [2]. Cosmetic outcome is an important endpoint in early breast cancer trials. Bitter et al. have shown that APBI treated patients have higher cosmetic satisfaction than patients treated with WBRT [3]. Excellent cosmetic outcomes with acceptable toxicity profile was reported by Akhtari et al. in their APBI series using single-entry multi-lumen/catheter applicators with skin spacing of ≤ 7 mm [22].

Proper patient selection carries an important implication on outcomes of APBI. The chief rationale behind patient selection for APBI is by defining favorable clinical and pathological criteria for minimal risk for local tumor recurrence. Though several consensus groups have recommended the use of guidelines for patient selection, most of these guidelines are based on systematic review of the APBI literature and expert opinion, rather than on individual patient data from APBI series that can identify subset of patients with higher local recurrence rates when treated with APBI.

In 2009, the ASTRO research committee and subsequently in 2010, GEC-ESTRO consensus panel published consensus guidelines based on published evidence complemented by expert opinion. In the last few years, several publications have analyzed the validity of original ASTRO and GEC-ESTRO consensus groups in predicting clinical outcome [23,24,25,26,27,28]. In a study by Vicini et al., though ASTRO suitable category predicted for a low-risk of IBTR in patients treated with MIB-APBI, there were no statistically significant differences in the IBTR (2.6% vs. 7.8% vs. 2.5, respectively, p = 0.85) and RNF rates among the three ASTRO categories [23]. However, the rate of distant metastasis (DM) was significantly higher in cautionary and unsuitable categories (7.1% and 11.2%, respectively) than in suitable category (0%, p = 0.01). Similar study by Mc Haffey *et al.*, in which 93% patients were treated with MIB-APBI, the 5-year actuarial incidence of IBTR were 1.6%, 4.8%, and 6.6%, and the 5-year locoregional recurrence rates were 1.6%, 4.8%, and 8.7% in suitable, cautionary, and unsuitable categories, respectively [24]. This study, along with similar other studies, showed that "cautionary" category patients have acceptably low rates of IBTR [25,26]. Shaitelman et al. have analyzed the clinical outcome based on ASTRO consensus in 1,449 APBI patients treated under MammoSite Registry Trial [27]. At a median follow-up of 53.5 months, the 5-year actuarial rates of IBTR for the suitable, cautionary, and unsuitable categories were 2.59%, 5.43%, and 5.28%, respectively (p = 0.18). Only negative estrogen receptor status was associated with increased risk of IBTR. No difference in DFS, CSS, and OS was found among the

groups, but DM rate differed significantly (0.87%, 4.44%, and 3.01%, respectively, p = 0.03). Similar results were obtained in a pooled analysis from William Beaumont Hospital (WBH) (n = 678; interstitial, n = 221; balloon, n = 255; 3D-CRT, n = 206) and the American Society of Breast Surgeons (ASBrS) MammoSite Registry Trial (n = 1449), and the authors concluded that the ASTRO CS guidelines did not adequately differentiate patients in an increased risk of IBTR or tumor bed failure in this large patient cohort [28].

Although, several studies have reported outcomes based on original ASTRO CS, only one study has shown statistically different rates of IBTR between risk groups [29]. None of the brachytherapy series reported significantly worst IBTR rates as per ASTRO CS groups. The study by Leonardi et al. included 1,822 patients treated with intra-operative electrons (ELIOT) off protocol, and showed significant difference in 5 years IBTR throughout all the 3 groups, when categorized according to ASTRO guideline (1.5%, 4.4%, and 8.8%, respectively; p = 0.0003) [29]. Although there was no difference in regional node relapse rate, the rate of distant metastases was significantly different in the unsuitable group compared with the other two groups, having a significant impact on PFS, CSS, and OS. Factors associated with increased risk of local relapse were age < 50 years, tumor size > 2 cm, high grade, diffuse LVI, estrogen receptor negative status, multicentricity, and positive lymph nodes. The application of GEC-ESTRO recommendations in the same cohort of patients resulted in statistically significant differences in all the clinical outcomes (except RNF rate) among the risk groups [10]. The incidence of IBTR was similar in intermediate risk and in high-risk groups (7.4% and 7.7%, respectively), and was significantly higher than in low-risk group patients (1.9%, p = 0.001). Estrogen receptor status and high-grade tumors were identified as risk factors for the development of IBTR. However, both these risk factors are not included in the GEC-ESTRO recommendation. Aliyev et al. have reported early results of two cohorts of patients with GEC-ESTRO low and intermediate risk of early breast cancer treated with APBI, using different schedules of multicatheter brachytherapy 32 Gy/8 fractions/4 days and 35 Gy/7 fractions/4 days [30]. After a median follow-up of 3 years, they did not find any difference between the two fractionation schedules in terms of disease-free survival and early and late toxicity of the treatment, concluding that an increase of fraction size did not lead to higher probability of fat necrosis. According to their findings, APBI was also feasible for intermediate-risk early breast cancer patients. In our institution, we had been using the APBI fractionation regimen of 34 Gy/10 fractions/5 days as per ABS recommendation [6], but after the publication of the GEC-ESTRO trial in 2016, we have changed the fractionation schedules to 32 Gy/8 fractions/4 days.

In all the studies, which are discussed here, stratification of patients was based on the original ASTRO CS, which was published in 2009. Recently, ASTRO guidelines have been revised and updated [9]. The new recommendations include lowering the age in the suitable group from 60 to 50 years and in the cautionary group

to 40 years for patients who meet all other elements for suitable. Hence, now the age cut off is similar to the GEC-ESTRO risk groups. Shah *et al.* have reviewed the available patient selection guidelines for brachytherapy-based APBI, and were of the opinion that the updated ASTRO consensus statement has incorporated much of the recent APBI data and provides a simpler set of guidelines for clinicians [31]. In the current study, we have reported the outcome as per both the updated ASTRO and ESTRO guidelines. To the best of our knowledge, this is the first report on such kind of analysis.

There is growing evidence that molecular phenotype has prognostic significance for locoregional recurrence risk both following APBI and WBI. In a study by Nguyen et al., both the HER-2 subtype (adjusted hazard ratio [AHR] 9.2; 95% CI: 1.6-51, p < 0.012) and the basal subtype (AHR 7.1; 95% CI: 1.6-31, p < 0.009) were associated with an increased risk of local recurrence [11]. In another study by Voduc et al., HER-2-enriched and basal subtypes demonstrated an increased risk of regional recurrence for patients undergoing BCS, while luminal B, luminal-HER2, HER2-enriched, and basal subtypes were all associated with an increased risk of local and regional relapse after mastectomy [12]. These studies were criticized for higher local and regional recurrence rate attributed to the underuse of systemic therapy, including the absence of adjuvant trastuzumab. Albert *et al.* limited their analysis to tumors < 1 cm, which were less likely to be treated with chemotherapy [13]. ER/ PR-negative and HER-2-positive status were shown to be independently predicted for locoregional recurrence (LRR). Several studies have shown that the basal or TNBC subtypes are associated with an increased risk of both LR and distant metastases [11,14].

The literature on APBI and molecular subtype is conflicting. Wilkinson et al. showed similar 5-year actuarial IBTR, RNF, DM, and OS in the TNBC patients compared to receptor positive patients who were treated with APBI [15]. In a recently published study by the same author, the 5-year local control rates after APBI and systemic therapy were similar for luminal, HER-2, and basal phenotypes of early-stage breast cancer [16]. In this study, only 2 out of 5 (40%) Her2neu subtype patients received trastuzumab. In contrast, Pashtan et al. reported on a series of 99 stage I patients treated with 3D conformal external beam APBI. Three of the six LR occurred in triple negative (TN) patients, yielding a LR of 32.5% among TN patients, compared to only 3% among the other subtypes (p = 0.0001) [17]. All patients who developed LR had received systemic treatment. On multivariate analysis, triple-negative phenotype was the only predictor of LR, with borderline statistical significance (p = 0.052, log-rank test) after adjusting for tumor grade. The study also analyzed the possible effect of the surgery-to radiation therapy interval on the risk of IBTR. Two of the 3 TNBC patients with IBTR had chemotherapy prior to RT; RT commenced on days 62, 105, and 174 after lumpectomy (mean, 114 days). The authors discussed that mature follow-up and delayed initiation of radiotherapy could have accounted for earlier development of IBTR in TN patients. On the contrary, as majority of patients (55%) underwent intra-operative procedure and early institution of radiation, overall very

few recurrences occurred in our cohort. Only in Her2neu subgroup relatively lesser number of patients underwent intra-operative implant compared to other subgroups (41%, 48%, 54%, 63% in Her2neu, TNBC, luminal B, and luminal A subgroup, respectively). Alderson et al. conducted a study with 582 breast cancers patients treated with MIB-APBI [18]. With a median follow-up time of 5.4 years, the 5-year IBTR rate was 4.7% overall, 3.5% for luminal A, 4.1% for luminal B, 5.2% for luminal Her2, 13.3% for Her2, and 11.3% for triple-negative breast cancer. Positive surgical margins and high grade were associated with increased risk for IBTR, as was Her2 subtype in comparison with luminal A subtype. RNF risk was higher for women with Her2 subtype compared to the other four subtypes and for luminal B compared to luminal A subtype. The clinical outcome of our cohort is comparable to that reported by Alderson et al. Orecchia et al. have reviewed the available literature on the role of molecular subtype in predicting local recurrence in patients treated with APBI. They concluded that there is growing evidence in literature that molecular subtypes can have a great impact also in locoregional management of breast cancer but needs to be validated in datasets [32].

Results from the above mention studies shows that both molecular subtype and the risk grouping based on ASTRO and GEC-ESTRO guideline may have impact on LC and LRC of early breast cancer patient treated with APBI. Our study is the first study to report clinical outcome based on both molecular subtype and updated ASTRO and GEC-ESTRO risk categories to determine the need for inclusion of molecular subtype in the selection criteria for APBI, though the follow-up is short. In our study, none of the guidelines (updated ASTRO and ESTRO) predicted risk of local or locoregional recurrence, though the unsuitable category was analyzed with cautionary category owing to few number of cases in unsuitable category. However, there was no statistical significant difference between the 3-year actuarial LC and LRC rates among the molecular subtypes, or according to ER, PR, Her2neu status, three cases of IBTR occurred in luminal B subtype. This can be possibly explained by the fact that luminal B subtype had a higher percentage of T2 tumors, as compared to luminal A or TNBC subtypes. We did not observe a statistically significant increased risk of local recurrence within TNBC subgroup. These findings are in agreement to the study results reported by Wilkinson et al., in which IBTR rates at 5 years did not differ significantly across the molecular subgroups; 5 out of 6 cases of IBTR occurred in luminal subtype and the TNBC subtype was not associated with higher risk of local recurrence [15]. Evidence has shown that use of trastuzumab may lower the LR rates for the HER2+ subtypes [33]. In our series, only 1 out of 28 Her2neu positive patients received trastuzumab for 1 year and others were unable to receive due to financial constraints. Moreover, the two patients who developed IBTR and also had Her2neu positive disease, were unable to receive trastuzumab. This could be a possible explanation for lower LC rate. The significantly higher rate of distant metastasis in the Her2 subtype may also be explained due to lack of targeted therapy. This also indicates that HER2+ women not receiving trastuzumab should be cautiously selected for APBI. As a result, prospective patients with HER2+ disease are not being considered for APBI at our institute if not receiving trastuzumab. GEC-ESTRO risk grouping did not predict for LC and LRC in this cohort of patients at relatively short follow-up, but the intermediate risk group was associated with higher rates of DM translating into lower CSS than the low-risk group. Limitations of the current analysis include its retrospective nature, small absolute number of local failures with a relatively small sample size, and limited length of follow-up. Tumor grade was taken as a surrogate measure of proliferation, and approximation of molecular subtype was done accordingly. Relatively lesser number of patients received trastuzumab in Her2+ group, due to financial constraints.

Conclusions

None of the APBI guidelines (updated ASTRO and ESTRO) predicted risk of local or locoregional recurrence in our study population. Though local or locoregional outcome was not significantly different across the molecular subtypes in this cohort of patients with relatively short follow up, DMFS, DFS, CSS, and OS were significantly lower with Her2neu subtype. As molecular subtyping can be easily done, it will provide added value to conventional risk factors in selecting patients for APBI. However, additional follow-up will be needed to recommend inclusion of molecular subtype (or at least HER2 receptor status) in patient's selection criteria for APBI.

Disclosure

Authors report no conflict of interest.

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